

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Bentz et al.

Serial No.: 10/696,405

Filed: October 28, 2003

For: STABILIZED, SOLID-STATE

POLYPEPTIDE PARTICLES

Confirmation No.: 7380

Examiner: L. Barnhart

Group Art Unit: 1651

Attorney Docket No.: 3139-6328.1US

(ARC 3277 R1)

CERTIFICATE OF MAILING

I hereby certify that this correspondence along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail on the date of deposit shown below with sufficient postage and in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

October 27, 2006

Date

Timothy Palfreyman

Name (Type/Print)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 or PTO/SB/08 be considered by the Examiner and made of record. Copies of any cited foreign patents, publications, or pending unpublished U.S. applications are enclosed pursuant to 37 C.F.R. § 1.98(a)(2).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in

37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56(b) exists.

U.S. Patent Documents

U.S. Patent No.	Publication Date	<u>Patentee</u>
US - 5,728,396	03/17/1998	Peery et al.
US - 5,874, 408	02/23/1999	Nayar
US - 5,985,305	11/16/1999	Peery et al.
US - 6,113,938	09/05/2000	Chen et al.
US - 6,130,200	10/10/2000	Brodbeck et al.
US - 6,156,331	12/05/2000	Peery et al.
US - 6,267,958	07/31/2001	Andya et al.
US - 6,331,311	12/18/2001	Brodbeck et al.
US - 6,375,978	04/23/2002	Kleiner et al.
US - 6,395,292	05/28/2002	Peery et al.
US - 6,468,961	10/22/2002	Brodbeck et al.

Foreign Patent Documents

Document No.	Publication Date	<u>Patentee</u>
WO 03/068805 A2	08/21/2003	Bayer
WO 03/080108	10/02/2003	Societe de Conseils
JP 02218618	08/31/1990	Green Cross Corp.
EP 0 791650	03/17/2004	Asahi

Other Documents

SHERWOOD, NANCY M. et al. "The Origin and Function of the Pituitary Adenylate Cyclase-Activating Polypeptide (PACAP)/Glucagon Superfamily," Endocrine Reviews, 2000, 21(6), pages 619-670

Applicants offer to supply any explanation or discussion of the documents that the Examiner feels is necessary or desirable and which is requested.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits; therefore, no fee is due.

Respectfully submitted

Edgar R. Cataxinos Registration No. 39,931 Attorney for Applicant(s)

TRASKBRITT P.O. Box 2550

Salt Lake City, Utah 84110-2550

Telephone: 801-532-1922

Date: October 27, 2006

ERC/nj:tp

Enclosures: Form PTO-1449 or PTO/SB/08

Cited Non-U.S. Patent Documents

Document in ProLaw

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

3139-6328.1US (ARC 3277 R1)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known INFORMATION DISCLOSURE Application Number 10/696,405 October 28, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor Bentz et al. Group Art Unit 1651 (use as many sheets as necessary) **Examiner Name** L. Barnhart

Attorney Docket Number

U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No.1	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-5,728,396	03/17/1998	Peery et al.		
		US-5,874,408	02/23/1999	Nayar ·		
_		US- 5,985,305	11/16/1999	Peery et al.		
		US- 6,113,938	09/05/2000	Chen et al.		
	1	US- 6,130,200	10/10/2000	Brodbeck et al.		
		US- 6,156,331	12/05/2000	Peery et al.		
		US- 6,267,958	07/31/2001	Andya et al.		
		US- 6,331,311	12/18/2001	Brodbeck et al.		
		US- 6,375,978	04/23/2002	Kleiner et al.		
		US- 6,395,292	05/28/2002	Peery et al.		
		US- 6,468,961	10/22/2002	Brodbeck et al.		
		US-				
		US-				
		US-				
	1	US-	-			
	1	US-				
	1	US-				
	1	US-				
		US-				
		US-				
		US-				

Sheet

Examiner Cite		Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
Initials* No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY				
		WO 03/068805 A2	08/21/2003	Bayer		
		WO 03/080108	10/02/2003	Societe de Conseils		
		JP 2218618	08/31/1990	Green Cross Corp.		
		EP 0 791650	03/17/2004	Asahi		

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO				Complete if Known		
INFORMATION DISCLOSURE				Application Number	10/696,405	
				Filing Date	October 28, 2003	
STAT	STATEMENT BY APPLICANT			First Named Inventor	Bentz et al.	
				Group Art Unit	1651	
	(use as many sheets as necessary)			Examiner Name	L. Barnhart	
Sheet	2	of	2	Attorney Docket Number	3139-6328 IUS (ARC 3277 R1)	

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	- T ²
		SHERWOOD, NANCY M. et al. "The Origin and Function of the Pituitary Adenylate Cyclase-Activating Polypeptide (PACAP)/Glucagon Superfamily," Endocrine Reviews, 2000, 21(6), pages 619-670,	

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.